

OCT-11-1999 12:10

RUDOLPH INTERNATIONAL

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Applicant or Patentee: RUDOLPH James M.Attorney's Docket No. 8956.3

Serial or Patent No. \_\_\_\_\_

Filed or Issued \_\_\_\_\_

Title FILE WITH SANITIZING AGENT AND METHOD**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:



The specification filed herewith.

Application serial number PCT/US98/06452, filed 01 April 1998

Patent number \_\_\_\_\_, filed \_\_\_\_\_

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:



No such person, concern, or organization



Persons, concerns, or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_



Individual



Small Business Concern



Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 or Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

RUDOLPH James M.  
Name of Inventor

X James M. Rudolph  
Signature of Inventor

X 10-8-99  
Date

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
 (Includes Reference to PCT international Applications.)

**ATTORNEY'S DOCKET NO.**
**8956.3**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FILE WITH SANITIZING AGENT AND METHOD

the specification of which (check only one item below):

☐ Is attached hereto.

☒ was filed as United States application

 Serial No. 08/832,219

 on 03 April 97

and was amended on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

 No. PCT/US98/06452

 on 01 April 98

and was amended under PCT Article 19

 on 27 May 98 and 28 May 98

(If applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 7 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, 7 119 of any foreign application(s) for patents or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 USC 119:**

| COUNTRY<br>PCT PCT | APPLICATION NUMBER | DATE OF FILING<br>(Day, Month, Year) | PRIORITY CLAIMED<br>UNDER 35 USC 119    |                             |
|--------------------|--------------------|--------------------------------------|-----------------------------------------|-----------------------------|
| PCT All States     | PCT/US98/06452     | 01 April 98                          | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| United States      | 08/832,219         | 03 April 97                          | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
|                    |                    |                                      | <input type="checkbox"/> YES            | <input type="checkbox"/> NO |
|                    |                    |                                      | <input type="checkbox"/> YES            | <input type="checkbox"/> NO |
|                    |                    |                                      | <input type="checkbox"/> YES            | <input type="checkbox"/> NO |

## COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

(Continued)

(Includes Reference to PCT International Applications.)

ATTORNEY'S DOCKET NO.

8936.3

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

## PRIOR U. S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U. S. FOR BENEFIT UNDER 35 USC 120:

| U. S. APPLICATIONS                     |                   | STATUS (Check one)                  |         |           |
|----------------------------------------|-------------------|-------------------------------------|---------|-----------|
| U. S. APPLICATION NO.                  | U. S. FILING DATE | PATENTED                            | PENDING | ABANDONED |
| 08/32,319                              | 09 April 97       |                                     |         |           |
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|                                        |                   |                                     |         |           |
|                                        |                   |                                     |         |           |
|                                        |                   |                                     |         |           |
| PCT APPLICATIONS DESIGNATING THE U. S. |                   |                                     |         |           |
| PCT APPLICATION NO.                    | PCT FILING DATE   | U. S. SERIAL NOS. ASSIGNED (If any) |         |           |
| PCT/US98/06432                         | 01 April 98       |                                     |         |           |
|                                        |                   |                                     |         |           |
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|                                        |                   |                                     |         |           |

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List names and registration number.)

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|     | FULL NAME OF INVENTOR   | FAMILY NAME         | FIRST GIVEN NAME         | SECOND GIVEN NAME        |
|-----|-------------------------|---------------------|--------------------------|--------------------------|
| 201 | RESIDENCE & CITIZENSHIP | CITY                | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP   |
|     | POST OFFICE ADDRESS     | POST OFFICE ADDRESS | CITY                     | STATE & ZIP CODE/COUNTRY |
|     |                         |                     |                          |                          |
| 202 | FULL NAME OF INVENTOR   | FAMILY NAME         | FIRST GIVEN NAME         | SECOND GIVEN NAME        |
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|     | POST OFFICE ADDRESS     | POST OFFICE ADDRESS | CITY                     | STATE & ZIP CODE/COUNTRY |
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|     | RESIDENCE & CITIZENSHIP | CITY                | STATE OR FOREIGN COUNTRY | COUNTRY OF CITIZENSHIP   |
|     | POST OFFICE ADDRESS     | POST OFFICE ADDRESS | CITY                     | STATE & ZIP CODE/COUNTRY |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| SIGNATURE OF INVENTOR 201 | SIGNATURE OF INVENTOR 202 | SIGNATURE OF INVENTOR 203 |
|---------------------------|---------------------------|---------------------------|
| <i>James Rudolph</i>      |                           |                           |
| DATE                      | DATE                      | DATE                      |
| 10-8-99                   |                           |                           |